

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Darrell Brock, Jr.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Darrell Brock, Jr.*, Case No. 07-107;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on June 4, 2007, the Commission alleged facts in an Initiating Order that Brock violated the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (b) and (d);

WHEREAS, Brock was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus is subject to the Executive Branch Code of Ethics; and

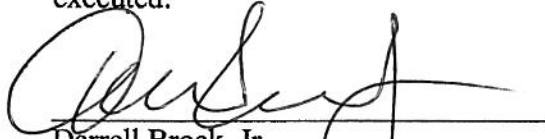
WHEREAS, Brock indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Brock agree, pursuant to KRS 11A.100, as follows:

1. Brock, while stating that he was not aware or knew that his actions were in violation of the provisions of KRS Chapter 11A, recognizes that the factual allegations as set forth in Count I of the Commission's Initiating Order of June 4, 2008, if proven true at a hearing, could result in a determination of a violation of the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (b) and (d) as stated in the Commission's Initiating Order.
2. Brock agrees to pay the Commission a civil penalty of \$1,000 within ten days from the date this settlement agreement is executed.
3. Brock agrees that upon a Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Darrell Brock, Jr.*, Case No. 07-107.

5. This Settlement Agreement constitutes a public reprimand to Brock, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

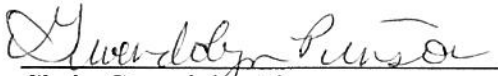


Darrell Brock, Jr.

7/15/09

Date

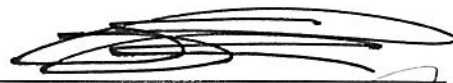
EXECUTIVE BRANCH ETHICS COMMISSION:



Chair, Gwendolyn Pinson

7/24/09

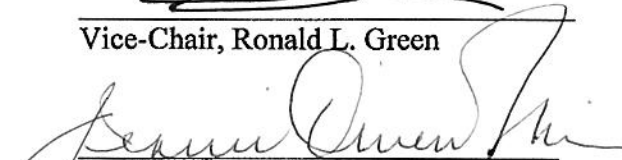
Date



Vice-Chair, Ronald L. Green

7/24/09

Date



Jeannie Owen-Miller

7/24/09

Date

Absent

Angela Edwards

Date



Nick Cambron

7-24-09

Date